

Substitute for Form 1449 A & B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	Unknown	
			Confirmation Number	Unknown	
			Filing Date	January 29, 2004	
			First Named Inventor	Masayuki NAYA	
			Art Unit	Unknown	
			Examiner Name	Unknown	
			Attorney Docket Number	Q79450	
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
MSP		US 20020089617	A1	07-11-2002	Tamaki FUKATA, et al.
		US			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
MSP	✓	JP	11-200090	A	07-27-1999	CANON INC.	Abstract

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
MSP	✓	David G. W. GOAD, et al., "Colloidal Metal in Aluminum-Oxide", J. APPL. PHYS. Vol. 49, No. 5, pp. 2929-2934, May 1978	
MSP	✓	A. ANDERSSON, et al., "Nickel Pigmented Anodic Aluminum Oxide for Selective Absorption of Solar Energy", J. APPL. PHYS., Vol. 51, No. 1, pp. 754-764, January 1980	
MSP	✓	Masashi NAKAO, et al., "GaAs and InP Nanohole Arrays Fabricated by Reactive Beam Etching Using Highly Ordered Alumina Membranes", JPN. J. APPL. PHYS., Vol. 38, pp. 1052-1055, Part 1, No. 2B, February 1999	

Examiner Signature	<i>[Signature]</i>	Date Considered	11/11/2005
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.

Substitute for Form 1449 A & B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/766,018
		Confirmation Number	2668
		Filing Date	January 29, 2004
		First Named Inventor	Masayuki NAYA
		Art Unit	2811
		Examiner Name	NOT YET ASSIGNED
Sheet	1	of	1
		Attorney Docket Number	Q79450

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		US			
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		Country Code ²	Number ⁴	Kind Code ³ (if known)			
MP		EP	0 822 407	A2	2/4/1998	ROSSENDORF FORSCHZENT	
MP		JP	4-173992	A	6/22/1992	MATSUSHITA ELECTRIC IND., CO., LTD.	Abstract
MP		JP	2002-314245	A	10/25/2002	NGK INSULATORS LTD.	Abstract

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
MP		HULTEEN JC ET AL., "A GENERAL TEMPLATE-BASED METHOD FOR THE PREPARATION OF NANOMATERIALS", Journal of Materials Chemistry, the Royal Society of Chemistry, Cambridge, GB, vol. 7, no. 7, July 1, 1997, pages 1075-1087, XP000703793	

Examiner Signature	<i>Harold B. Liza</i>	Date Considered	11/11/2005
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) SEP 10 2004 TRADEMARK OFFICE		Application Number	10/766,018
		Confirmation Number	2668
		Filing Date	January 29, 2004
		First Named Inventor	Masayuki NAYA
		Art Unit	2811
		Examiner Name	NOT YET ASSIGNED
Sheets	1	of	1
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		Number	Kind Code ² (if known)		
	MDP	US 4,014, 756		3/29/1977	Fromson
		US			
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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁴
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	MDP	JP	4-173992	A	6/22/1992	Matsushita Electric Ind., Co., Ltd.	Abstract
	MDP	JP	2002-314245	A	10/25/2002	NGK Insulators Ltd.	Abstract
1-45 → missing		WO	98/37417	A1	8/27/1998	The Regents of the University of California	
	MDP	EP	0 965 835	A2	12/22/1999	Hitachi, ltd.	

NON PATENT LITERATURE DOCUMENTS			
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		EL-KOUEDI M ET AL: "Optical properties of gold-silver iodide nanoparticle pair structures", JOURNAL OF PHYSICAL CHEMISTRY B, May 4, 2000, ACS, USA, vol. 104, no. 17, pages 4031-4037, XP002289393	
		Japanese Abstract No. 03201530, dated September 3, 1991	
		PRESTON C B ET AL: "Optical characterization of anodic aluminum oxide films containing electrochemically deposited metal particles. 1. Gold in phosphoric acid anodic aluminum oxide films", JOURNAL OF PHYSICAL CHEMISTRY, August 12, 1993, USA, vol. 97., no. 32, pages 8495-8503, XP002289394	
		KUME T ET AL: "Interaction between localized and propagating surface plasmons: Ag fine particles on Al surface", SOLID STATE COMMUNICATIONS, JAN. 1995, USA, vol. 93, no. 2, pages 171-175, XP002289395	
		FURNEAUX R C ET AL: "The formation of controlled-porosity membranes from anodically oxidized aluminum", NATURE, MACMILLAN JOURNALS LTD. LONDON, GB, vol. 337, no. 6203, January 12, 1989, pages 147-149, XP002121054	

Examiner Signature <i>Sharon B. Liger</i>	Date Considered <i>11/15/2005</i>
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